

IN DATE: 11/11/2003  
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11-13-03

PTO/SB/21 (08-03)  
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U.S. Patent and Trademark Office; U.S. DEPARTMENT OF COMMERCE

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**TRANSMITTAL  
FORM**

(to be used for all correspondence after initial filing)

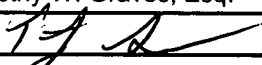
Application Number	10/654,667
Filing Date	09/04/2003
First Named Inventor	Kenneth GOULD, et al.
Art Unit	Not yet assigned
Examiner Name	Not yet assigned
Attorney Docket Number	2816-026

Total Number of Pages in This Submission 4+

**ENCLOSURES (Check all that apply)**

<input type="checkbox"/> Fee Transmittal Form	<input type="checkbox"/> Drawing(s)	<input type="checkbox"/> After Allowance communication to Technology Center (TC)
<input type="checkbox"/> Fee Attached	<input type="checkbox"/> Licensing-related Papers	<input type="checkbox"/> Appeal Communication to Board of Appeals and Interferences
<input type="checkbox"/> Amendment/Reply	<input type="checkbox"/> Petition	<input type="checkbox"/> Appeal Communication to TC (Appeal Notice, Brief, Reply Brief)
<input type="checkbox"/> After Final	<input type="checkbox"/> Petition to Convert to a Provisional Application	<input type="checkbox"/> Proprietary Information
<input type="checkbox"/> Affidavits/declaration(s)	<input type="checkbox"/> Power of Attorney, Revocation Change of Correspondence Address	<input type="checkbox"/> Status Letter
<input type="checkbox"/> Extension of Time Request	<input type="checkbox"/> Terminal Disclaimer	<input checked="" type="checkbox"/> Other Enclosure(s) (please identify below):
<input type="checkbox"/> Express Abandonment Request	<input type="checkbox"/> Request for Refund	-Return Receipt Postcard
<input checked="" type="checkbox"/> Information Disclosure Statement	<input type="checkbox"/> CD, Number of CD(s) _____	
<input type="checkbox"/> Certified Copy of Priority Document(s)	Remarks	
<input type="checkbox"/> Response to Missing Parts/Incomplete Application	This submission contains the following items:	
<input type="checkbox"/> Response to Missing Parts under 37 CFR 1.52 or 1.53	-Supplemental Information Disclosure Statement;	
	-PTO/SB/08B (2 pages);	
	-14 Cited References; and	
	-Return Receipt Postcard.	

**SIGNATURE OF APPLICANT, ATTORNEY, OR AGENT**

Firm or Individual name	Timothy W. Graves, Esq. Registration No. 45,940
Signature	
Date	11/11/2003

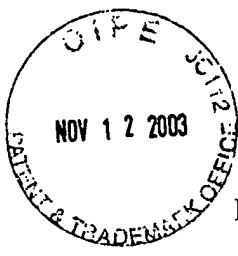
**CERTIFICATE OF TRANSMISSION/MAILING**

I hereby certify that this correspondence is being facsimile transmitted to the USPTO or deposited with the United States Postal Service with sufficient postage as first class mail in an envelope addressed to: Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450 on the date shown below.

Typed or printed name			
Signature		Date	

This collection of information is required by 37 CFR 1.5. The information is required to obtain or retain a benefit by the public which is to file (and by the USPTO to process) an application. Confidentiality is governed by 35 U.S.C. 122 and 37 CFR 1.14. This collection is estimated to 12 minutes to complete, including gathering, preparing, and submitting the completed application form to the USPTO. Time will vary depending upon the individual case. Any comments on the amount of time you require to complete this form and/or suggestions for reducing this burden, should be sent to the Chief Information Officer, U.S. Patent and Trademark Office, U.S. Department of Commerce, P.O. Box 1450, Alexandria, VA 22313-1450. DO NOT SEND FEES OR COMPLETED FORMS TO THIS ADDRESS. SEND TO: Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450.

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IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

In re Application of Kenneth Gould, et al.

Serial No: 10/654,667  
Filed: 09/04/2003

Art Unit: Not yet assigned  
Examiner: Not yet assigned

For: Method to Block Unauthorized Network Traffic in a Cable Data Network

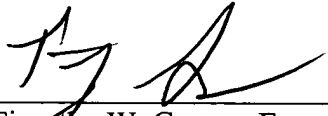
Commissioner For Patents  
P.O. Box 1450  
Alexandria VA 22313-1450

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**SUPPLEMENTAL INFORMATION**  
**DISCLOSURE STATEMENT**  
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Dear Sir:

In accordance with 37 CFR §1.56, Applicant hereby submits the following Supplemental Information Disclosure Statement. The form PTO/SB/08 as submitted lists references Applicant thinks may be relevant. These documents are not necessarily analogous art. It is respectfully requested that these documents be considered by the Examiner, and that the Examiner indicate consideration of the cited references by returning copies of the attached form PTO/SB/08 with initials or other appropriate marks affixed. It is noted that as of November 11, 2003, no Office Action pertaining to this matter has been received. Copies of all references are enclosed.

Respectfully Submitted,

  
\_\_\_\_\_  
Timothy W. Graves, Esq.  
Registration No. 45,940  
Roberts Abokhair & Mardula, LLC  
11800 Sunrise Valley Drive, Suite 1000  
Reston, Virginia 20191-5302  
(703) 391-2900

IN DATE: 11/11/2003

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**Complete if Known****INFORMATION DISCLOSURE  
STATEMENT BY APPLICANT**

(Use as many sheets as necessary)

Sheet 01 of 02

Applicant Number	10/654,667
Filing Date	09/04/2003
First Named Inventor	Kenneth GOULD, et al
Art Unit	Not yet assigned
Examiner Name	Not yet assigned
Attorney Docket Number	2816-026

**NON PATENT LITERATURE DOCUMENTS**

Examiner Initials*	Cite No. <sup>1</sup>	Include name of the author (in CAPITAL LETTERS), title of the article (when appropriate), title of the item (book, magazine, journal, serial, symposium, catalog, etc.), date, page(s), volume-issue number(s), publisher, city and/or country where published.	T <sup>2</sup>
	01	MIERCOM, Lab Testing Summary Report, Report 110702, July 2002, Mier Communications, Inc., Princeton Junction, NJ	
	02	CISCO SYSTEMS, INC., Cable Monitor and Intercept Features for the Cisco CMTS, 2003, Cisco Systems, Inc., San Jose, CA	
	03	JOEL A. POGAR, Data Security in a Converged Network: A Siemens White Paper, 2003, Siemens Information and Communication Networks, Inc., Boca Raton, FL	
	04	BRIAN A. REED, Stateful Packet Inspection Explained	
	05	LARRY SMITHMIER, Linux IP Multicast HOW TO Study Guide, 1998	
	06	SETH FINKELSTEIN and LEE TIEN, Electronic Frontier Foundation White Paper 1 for NRC Project on Tools and Strategies for Protecting Kids from Pornography and Their Applicability to Other Inappropriate Internet Content	
	07	FARLEY STEWART, Internet Acceptable Use Policies: Navigating the Management, Legal and Technical Issues, St. Bernard Software, Inc., San Diego, CA	
	08	COMMUNICATIONS TECHNOLOGY, Cable Modem Security: Insulating Your Network While Keeping Your Subscribers Safe From Each Other, October 2001, Communications Technology	
	09	CHECK POINT SOFTWARE TECHNOLOGIES, LTD., Build Your Security Infrastructure with Best-of-Breed Products from OPSEC, 2003, Check Point Software Technologies, Ltd., Redwood City, CA	
	10	P. MOCKAPETRIS, Domain Names - Implementation and Specification, November 1987	

Examiner Signature		Date Considered	
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\*EXAMINER: Initial if reference considered, whether or not citation is in conformance with MPEP 609. Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant.

1 Applicant's unique citation designation number (optional). 2 Applicant is to place a check mark here if English language Translation is attached. This collection of information is required by 37 CFR 1.98. The information is required to obtain or retain a benefit by the public which is to file (and by the USPTO to process) an application. Confidentiality is governed by 35 U.S.C. 122 and 37 CFR 1.14. This collection is estimated to take 2 hours to complete, including gathering, preparing, and submitting the completed application form to the USPTO. Time will vary depending upon the individual case. Any comments on the amount of time you require to complete this form and/or suggestions for reducing this burden, should be sent to the Chief Information Officer, U.S. Patent and Trademark Office, P.O. Box 1450, Alexandria, VA 22313-1450. DO NOT SEND FEES OR COMPLETED FORMS TO THIS ADDRESS. SEND TO: Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450.

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# INFORMATION DISCLOSURE STATEMENT BY APPLICANT

(Use as many sheets as necessary)

Sheet 02 of 02

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Attorney Docket Number	2816-026

## NON PATENT LITERATURE DOCUMENTS

Examiner Initials*	Cite No. <sup>1</sup>	Include name of the author (in CAPITAL LETTERS), title of the article (when appropriate), title of the item (book, magazine, journal, serial, symposium, catalog, etc.), date, page(s), volume-issue number(s), publisher, city and/or country where published.	T <sup>2</sup>
	11	BOOTP and DHCP Parameters, August 5, 2003, Internet Assigned Numbers Authority	
	12	ST. BERNARD SOFTWARE, INC., Providing Comprehensive & Customizable Precision Internet Access Control for Business and Educational Organizations: An Overview of the Issues and Opportunities, March 2002, St. Bernard Software, Inc., San Diego, CA	
	13	PARIS TRUDEAU, et al., Major Techniques for Classifying Spam, 2003, SurfControl plc.	
	14	NET NANNY SOFTWARE INC., Net Nanny 5: Child Safety Software for the Internet - User Guide, 1994-2003, Net Nanny Software, Inc., Bellevue, WA	

Examiner Signature	Date Considered
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